

## **Registration document of VanEck Asset Management B.V.**

This document serves as VanEck Asset Management B.V.'s registration document as referred to in section 4:48 of the Financial Supervision Act (Wft) and section 117 of the Market Conduct Supervision (Financial Institutions) Decree (Bgfo). This document contains the data as required in appendix H to section 117 Bgfo. This registration document will be amended or supplemented if and insofar as this is required by the law or regulations based thereon.

### **1 Details about the manager's activities**

#### **1.1 Details about the manager's activities**

VanEck Asset Management B.V. acts as the manager of open-ended UCITS investment institutions. In concrete terms, the manager manages the Dutch domiciled UCITS fund VanEck Vectors™ ETFs N.V. a passively managed UCITS ETF umbrella fund, and the Irish domiciled UCITS funds, the VanEck ICAV, an actively managed UCITS umbrella fund, and the VanEck Vectors UCITS ETFs plc, a passively managed UCITS ETF umbrella fund. Under its license, VanEck Asset Management B.V. is also allowed to provide investment services, being discretionary management of portfolios and advice of investments.

### **2 Details concerning the people who determine the manager's day-to-day policy and the people who constitute part of the manager's supervisory body.**

#### **2.1 Details concerning the people who determine the manager's policy**

The people who determine the manager's day-to-day policy are:

1. Mr M. Rozemuller, resident of Amsterdam and
2. Mr G. Koning, resident of Amsterdam.

Mr. G. Koning holds the following additional positions related to the Manager's activities:

- director of VanEck Vectors™ UCITS ETFs plc, an open-ended Irish investment company;
- director of VanEck ICAV, an open-ended Irish collective asset management vehicle;
- member of the AEX Steering Committee.

#### **2.2 Details concerning the people who constitute part of the manager's supervisory body**

The people who constitute part of a body charged with supervising the manager's policy and general affairs are:

##### **1. Mr B. J. Smith**

Mr. Smith is Senior Advisor for Strategic Initiatives and Director of Van Eck Associates Corporation, Van Eck Securities Corporation and Van Eck Absolute Return Advisers Corporation. He is also a director VanEck Vectors™ UCITS ETFs plc and VanEck ICAV.

##### **2. Mr A. E. Phillips**

Mr Phillips currently serves as Chief Operating Officer of Market Vectors ETFs also a director of VanEck Vectors™ UCITS ETFs plc and VanEck ICAV.

##### **3. Mr T. Hunke**

Mr. Hunke is the Managing Director of VanEck (Europe) GmbH and MV Index Solutions GmbH and Head of Legal & Compliance Europe at VanEck.

4. Mr J. R. Simon Mr Simon is Senior Vice President and General Counsel of Van Eck Associates Corporation, Van Eck Securities Corporation and Van Eck Absolute Return Advisers Corporation. He is also a director of VanEck Vectors™ UCITS ETFs plc and VanEck ICAV.

### 3 General details about the manager

Name:	VanEck Asset Management B.V.
Legal form:	private company with limited liability
Registered office:	Amsterdam
Incorporation date:	30 June 2009
Registration number:	34314095
Location of registration:	Chamber of Commerce for Amsterdam

### 4 General details about the depositary

The duties of the depositary include:

1. Monitoring cash flows and bank accounts;
2. Administering the financial instruments;
3. Supervision of the transactions performed with the manager and/or the fund; and
4. Verifying whether investing takes place in accordance with the investment guidelines and the legislation and regulations.

#### 4.1 General details about the depositary of VanEck Vectors™ ETFs N.V.

Name:	CACEIS Bank S.A., Netherlands branch
Legal form:	a public company with limited liability
Registered office:	Branch office is seated in Amsterdam
Registration number:	67323944
Location of registration:	Commercial register in Amsterdam

#### 4.2 General details about the depositary of VanEck Vectors UCITS ETFs plc

Name:	State Street Custodial Services (Ireland) Limited
Legal form:	private limited liability company

Registered office: Dublin  
Registration number: 174330  
Location of registration: Companies Registration Office Ireland

#### 4.3 General details about the depository of VanEck ICAV

Name: State Street Custodial Services  
(Ireland) Limited  
Legal form: private limited liability company  
Registered office: Dublin  
Registration number: 174330  
Location of registration: Companies Registration Office Ireland

#### 5 Financial details about the manager

The manager's auditor issued an unqualified auditor's opinion in relation to the 2019 financial statements on 30 April 2020. The capital available satisfies the minimum required pursuant to sections 3:53 and 3:57 Wft. The auditor's opinion has been included in its entirety in the annual report and is available on the manager's website ([www.vanecketfs.nl](http://www.vanecketfs.nl) and [www.vaneck.com](http://www.vaneck.com)).

#### 6 Information provision

The manager provides information via its website: [www.vanecketfs.nl](http://www.vanecketfs.nl) and/or [www.vaneck.com](http://www.vaneck.com). The manager's financial year coincides with the calendar year. The articles of association, financial statements and annual report of the manager, as well as the manager's semi-annual figures, are published on the manager's website ([www.vanecketfs.nl](http://www.vanecketfs.nl) and [www.vaneck.com](http://www.vaneck.com)) and are available free of charge on request from the manager's customer service, which can be reached on telephone number 0031 (0)20 719 5100. The figures must be available on the website within 4 months after the financial year ends or 9 weeks after the first half of the year ends.

#### 7 Details about replacing the manager

VanEck Vectors™ ETFs N.V.:

If the manager intends to terminate its position in relation to VanEck Vectors™ ETFs N.V., a successive manager will be appointed within 10 weeks after it has emerged that the manager wants to or must terminate its position. In that case, the manager will make an announcement in at least one nationally distributed Dutch daily newspaper and on its website ([www.vanecketfs.nl](http://www.vanecketfs.nl) and [www.vaneck.com](http://www.vaneck.com)).

VanEck ICAV and VanEck Vectors UCITS ETFs plc:

If the manager intends to terminate its position in relation to VanEck ICAV and/or VanEck Vectors UCITS ETFs plc, the manager will inform the investors one month prior to the termination and make an announcement on its website ([www.vanecketfs.nl](http://www.vanecketfs.nl) and [www.vaneck.com](http://www.vaneck.com)).

The manager declares that it will send notice of a request for revocation of the licence as referred to in section 1:104 (1)(a) Wft to the addresses of shareholders or publish such notice in at least one nationally distributed Dutch daily newspaper and on its website ( [www.vanecketfs.nl](http://www.vanecketfs.nl) and [www.vaneck.com](http://www.vaneck.com)).